# 1/500 NOV

#### COPY OF PAPERS ORIGINALLY FILED

### IN THE UNITED STA<del>TES PATENT AN</del>D TRADEMARK OFFICE

7
Application Serial No
Filing Date June 23, 2000
nventor Moore et al.
Assignee Micron Technology, Inc.
Group Art Unit
Examiner Kielin, E.
Attorney's Docket NoMI22-1443
Title: Capacitor Constructions, DRAM Constructions and Semiconductive
Material Assemblies

# PURSUANT TO 37 C.F.R. §§ 1.56, 1.97 AND 1.98

In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, the Examiner's attention is directed to the references listed on the attached Form PTO-1449 and copies of which are attached. No admission is made regarding whether all the submitted references are prior art.

Citation of these references is respectfully requested.

Respectfully submitted,

Date: <u>1-8-2002</u>

Attorney:

02/12/2002 SZEWDIE1 00000011 09603147

01 F0:118

130.00 JF

Jennifer J. Taylor, Ph.D.

Reg./No. 48,711

Heg./No. 48,/11/

Wells, St. John, Roberts, Gregory &

Matkin P.S.

## COPY OF PAPERS ORIGINALLY FILED

ase type a plus sign (+) inside this box >

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE/

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/603,147					
Filing Date	June 23, 2000					
First Named Inventor	John T. Moore					
Group Art Unit	2813					
Examiner Name	Kielin, E.					
Attorney Docket Number	MI22-1443					

ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers (for an Application) Fee Transmittal Form to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Additional Enclosure(s) Extension of Time Request Change of Correspondence (please identify below): Address Return Receipt Postcard Terminal Disclaimer Express Abandonment Request copies of cited references Small Entity Statement Information Disclosure Statement Request for Refund Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Jennifer J. Taylor, Ph.D.; Wells, St. John, Roberts, Individual name Gregory & Matkin P.S. Signature Date anwow CERTIFICATE OF MAILING

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 1 - 8 - 2002

Typed or printed name Jaime M. White

Signature Same m. white

Date 1802

Burden Hour Statement: This ferm is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#### **COPY OF PAPERS ORIGINALLY FILED**

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

for FY 2000 Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known					
Application Number	09/603,147				
Filing Date	June 23, 2000				
First Named Inventor	John T. Moore	न्द			
Examiner Name	Kielin, E.	22	77	38	
Group / Art Unit	2813	00	O	C	
Attorney Docket No.	MI22-1443	-y·	157		

METHOD OF PAYMENT (check one)				F	EE CALCULATION (continued)	
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	Larg Fee	DDIT e Entity Fee	y Smal Fee	Entity Fee	ES y Fee Description	OFee Paid
Deposit Account Number 23-0925	105	e (\$) 130	Code 205	(\$) 65	Surcharge - late filing fee or oath	0.00
Deposit VV II. Co. I. I. D. C.	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	0.00
Account Name Wells St. John, P.S.	139	130	139	130	Non-English specification	0.00
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147	2,520	147	2,520	For filing a request for reexamination	0.00
2. X Payment Enclosed:	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0.00
Check Money Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	0.00
FEE CALCULATION	115	110	215	55	Extension for reply within first month	0.00
	116	380	216	190	Extension for reply within second month	0.00
1. BASIC FILING FEE Large Entity Small Entity	117	870	217	435	Extension for reply within third month	0.00
Fee Fee Fee Fee Description	118	1,360	218	680	Extension for reply within fourth month	0.00
Code (\$) Code (\$) Fee Paid	128	1,850	228 9	925	Extension for reply within fifth month	0.00
101 690 201 345 Utility filing fee	119	300	219	150	Notice of Appeal	0.00
106 310 206 155 Design filing fee	120	300	220	150	Filing a brief in support of an appeal	0.00
107 480 207 240 Plant filing fee  108 690 208 345 Reissue filing fee	121	260	221	130	Request for oral hearing	0.00
114 150 214 75 Provisional filing fee	138	1,510	138 1	,510	Petition to institute a public use proceeding	0.00
	140	110	240	55	Petition to revive - unavoidable	0.00
SUBTOTAL (1) (\$) 0.00	141	1,210		605	Petition to revive - unintentional	0.00
2. EXTRA CLAIM FEES Fee from	142 1,210 242 605 Utility issue fee (or reissue)		0.00			
Extra Claims below Fee Paid	143	430		215	Design issue fee	0.00
Total Claims20** = X = 0	144	580		290	Plant issue fee	0.00
Claims — 3 =	122	130		130	Petitions to the Commissioner	0.00
Multiple Dependent	123	50	123	50	Petitions related to provisional applications	0.00
**or number previously paid, if greater; For Reissues, see below	126	240		240	Submission of Information Disclosure StmC	180.06
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	0.00
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submission after final rejection	0.00
102 78 202 39 Independent claims in excess of 3	149	690	249	345	(37 CFR § 1.129(a))  For each additional invention to be examined (37 CFR § 1.129(b))	0.09[5]
104 260 204 130 Multiple dependent claim, if not paid	examined (37 CFR § 1.129(b)) 0.00					
109 78 209 39 ** Reissue independent claims over original patent				0.00		
110 18 210 9 "Reissue claims in excess of 20 and over original patent	Other	fee (sp	ecify)			0.00
SUBTOTAL (2) (\$) 0.00 Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00						
SUBMITTED BY Complete (if applicable)						

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Jennifer J. Taylor, Ph.D.	Registration No. (Attorney/Agent) 48,711	Telephone	509-624-4276
Signature	Jennify of Trudon		Date	1-8-2002

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.